

Correction of the naive approach.

**Theorem.** [Rosenberg-Schochet universal coefficient theorem] If  $B$  is  $KK$ -equivalent to a commutative  $C^*$ -algebra, then there is a (not canonical) isomorphism

$$KK_*(B, A) \cong \text{Hom}(K_*(B), K_*(A)) \oplus \text{Ext}(K_*(B), K_{*+1}(A)). \square$$

(after: The Künneth theorem and the universal coefficient theorem for Kasparov's generalized  $K$ -factor. Duke Math. J. 55 (1987), 431-474)

**Exercise 35.** Show that  $KK_1(\mathbb{C}, \mathbb{C}) = 0$ .

**Solution.**  $A = B = \mathbb{C}$ , in particular  $B \stackrel{KK}{\sim} \mathbb{C}$

$$K_*(\mathbb{C}) = \begin{cases} \mathbb{Z} & * = 0 \\ 0 & * \neq 0 \end{cases}$$

$\text{Hom}(K_*(\mathbb{C}), K_*(\mathbb{C})) \cong \mathbb{Z}$  in even degree

$$\text{Ext}(K_*(\mathbb{C}), K_{*+1}(\mathbb{C})) = 0$$

$\Rightarrow KK_1(\mathbb{C}, \mathbb{C}) = 0$  (nothing in odd degree).  $\square$

**Exercise 36.** Show that  $KK_*(\mathbb{C}, A) = K_*(A)$ .

**Solution.**  $B = \mathbb{C} \Rightarrow B \overset{KK}{\sim} \mathbb{C}$ ,  $K_*(\mathbb{C}) = \begin{cases} \mathbb{Z} & * = 0 \\ 0 & * = 1 \end{cases}$

$$\text{Hom}(K_*(\mathbb{C}), K_*(A)) \cong K_*(A)$$

$$\text{Ext}(K_*(\mathbb{C}), K_{*+1}(A)) = 0 \quad \text{since } K_*(\mathbb{C})$$

projective (since free over  $\mathbb{Z}$ ).

$$\Rightarrow KK_*(\mathbb{C}, A) \cong K_*(A). \quad \square$$

**Remark.** The same argument shows that if  $B$  is  $KK$ -equivalent to a commutative  $C^*$ -algebra whose  $K$ -theory is free then

the naive isomorphism

$$KK_*(B, A) = \text{Hom}(K_*(B), K_*(A)).$$

still holds.

Another solution to Exercise 36 for  $* = 1$  uses the following result.

**Theorem.** [Kasparov Stabilization Theorem].

For any separable Hilbert  $A$ -module  $\mathcal{H}$  and a separable Hilbert space  $H$

$$\mathcal{H} \oplus (A \otimes H) \cong A \otimes H \quad . \quad \square$$

# $KK_1$ through $C^*$ -algebra extensions,

**Definition.** An extension  $A \twoheadrightarrow E \twoheadrightarrow B$  is called trivial if it splits by a  $*$ -homomorphism  $E \leftarrow B$ .

Given two extensions of  $B$  by  $A$

$$A \twoheadrightarrow E_i \xrightarrow{\pi_i} B, \quad i=1,2.$$

there is a well-defined direct sum,

$$A \twoheadrightarrow E \xrightarrow{\pi} B,$$

$$E = \left\{ \begin{pmatrix} e_1 & a_1 \\ a_2 & e_2 \end{pmatrix} \mid a_i \in A, e_i \in E_i, \pi_1(e_1) = \pi_2(e_2) \right\}.$$

An extension is called invertible if there

exists another extension  $E^\perp$  s.t.  $E \oplus E^\perp$  is trivial.

$KK_1(B, A) :=$  group of homotopy classes of invertible extensions of  $B$  by  $A \oplus \mathcal{K}(H)$ .

Here, homotopy is defined using invertible extension

of  $B$  by  $C([0, 1], A \oplus \mathcal{K}(H)) = C([0, 1]) \otimes (A \oplus \mathcal{K}(H))$

To understand a difference between trivial and invertible extensions we need a notion of complete positivity.

**Definition.** An element  $a \in A$  of a  $C^*$ -algebra  $A$  is called positive if  $a = a^*$  and  $\sigma(A) \subset [0, \infty)$ . Equivalently,  $a$  is positive if and only if  $a = \alpha^* \alpha$  for some  $\alpha \in A$ .

A  $C^*$ -algebra map  $\varphi: B \rightarrow A$  is called positive if it satisfies

$$b \geq 0 \Rightarrow \varphi(b) \geq 0,$$

and completely positive, if all induced maps

$M_n(\varphi): M_n(B) \rightarrow M_n(A)$  (where  $M_n(A) = M_n(\mathbb{C}) \otimes_{\min} A$  as a  $C^*$ -algebra) are positive.

**Exercise 37.** Show that the transposition map

$\varphi: M_2(\mathbb{C}) \rightarrow M_2(\mathbb{C}), a \mapsto a^T$  is not completely positive.

**Solution.**  $A := M_2(\mathbb{C})$ .

$$M_2(A) \Rightarrow \begin{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} & \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \\ \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} & \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix} = \beta \text{ is positive} \\ (\beta(\beta-2)=0, \beta^*=\beta)$$

but

$$\begin{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}^T & \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}^T \\ \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}^T & \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}^T \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = M_2(\varphi)(\beta) = \alpha$$

is not ( $\det \alpha = -1$ ).  $\square$



Completely positive maps generalize  $C^*$ -algebra maps and are related to them through the following theorem.

**Theorem.** [Stinespring] Any completely positive  $C^*$ -algebra map  $\varphi: B \rightarrow B(H)$  decomposes as follows

$$\begin{array}{ccc}
 & & B(K) \\
 & \nearrow \tilde{\varphi} & \downarrow v^*(-)v \\
 B & \xrightarrow{\varphi} & B(H)
 \end{array}$$

where  $v: K \rightarrow H$  is a bounded linear map of Hilbert spaces. If  $B$  is unital  $\tilde{\varphi}$  and  $v$  can be chosen so that  $\tilde{\varphi}$  is a unital  $C^*$ -algebra map, and  $\|\varphi(1)\| = \|v\|^2$ .  $\square$

**Exercise 38.** Show that for every c.p. map of  $C^*$ -algebras  $\varphi: B \rightarrow A$  there exists a commutative diagram of  $C^*$ -algebras

$$\begin{array}{ccc}
 B(K) & \xrightarrow{v^*(-)\nu} & B(H) \\
 \beta \uparrow & & \uparrow \alpha \\
 B & \xrightarrow{\varphi} & A
 \end{array}$$

where  $\nu \in B(H, K)$ .

**Solution.** Let us choose embeddings  $\alpha: A \rightarrow B(H)$  and  $\tilde{\beta}: B \rightarrow B(\tilde{K})$ . By the Stinespring theorem for  $\alpha\varphi$  which is c.p. as well as  $\varphi$

$$\begin{array}{ccc}
 & \xrightarrow{\tilde{\varphi}} & B(\tilde{H}) \\
 & & \downarrow \tilde{U}^*(-)\tilde{U} \\
 B & \xrightarrow{\varphi} A \xrightarrow{\alpha} & B(H)
 \end{array}$$

we have an embedding  $\beta: B \rightarrow B(K)$ ,

where  $K := \tilde{H} \oplus \tilde{K}$ ,  $\beta(b) = \begin{pmatrix} \tilde{\varphi}(b) & 0 \\ 0 & \tilde{\beta}(b) \end{pmatrix}$ ,

$U := i\tilde{U} \in B(H, K)$ , where  $\tilde{H} \xrightarrow{i} \tilde{H} \oplus \tilde{K}$ ,  $i = \begin{pmatrix} \text{id}_{\tilde{H}} \\ 0 \end{pmatrix}$

and the commutative diagram

$$\begin{array}{ccc}
 B(K) \xrightarrow{U^*(-)U} B(H) & \begin{pmatrix} \tilde{\varphi}(b) & 0 \\ 0 & \tilde{\beta}(b) \end{pmatrix} \mapsto \tilde{U}^* i^* \begin{pmatrix} \tilde{\varphi}(b) & 0 \\ 0 & \tilde{\beta}(b) \end{pmatrix} i\tilde{U} = \tilde{U}^* \tilde{\varphi}(b) \tilde{U} \\
 \beta \uparrow & & \parallel \\
 & & \alpha \tilde{\varphi}(b) \\
 B \xrightarrow{\varphi} A & & \uparrow \\
 & & \mathbb{1} \\
 & & \varphi(b)
 \end{array}$$

□

A consequence of the Stinespring theorem is the following fact.

**Fact.** An extension  $A \rightarrow E \rightarrow B$  is invertible if and only if it is split by a completely positive contractive section  $E \leftarrow B$ .  $\square$

**Remark.** Note that if the completely positive contractive section is a  $*$ -homomorphism, then the invertible extension is trivial.

Another (equivalent) construction of  $KK$  needs the notion of multiplier  $C^*$ -algebra.

**Definition.** A  $*$ -ideal  $A$  in a  $C^*$ -algebra  $M$  is said to be essential iff the following holds:

$$\forall a \quad ma = 0 \implies m = 0.$$

for a  $C^*$ -algebra  $A$  its multiplier  $C^*$ -algebra  $M(A)$  is a universal  $C^*$ -algebra containing  $A$  as an essential ideal, i.e.

$$\begin{array}{ccc}
 & \forall \text{ ess. id.} & \\
 A & \longrightarrow & M \\
 \downarrow \iota_A & & \downarrow \exists! \varphi \\
 \text{ess. id. } M(A) & & 
 \end{array}$$

A construction of the multiplier algebra.

$$M(A) = \{(L, R) \in B(A) \times B(A) \mid \forall a, a' \in A \quad aL(a') = R(a)a'\}$$

*Examples.*

1.  $A = C_0(X)$ ,  $X$  loc. cpct Hausdorff space. Then

$$M(A) = C(\beta X).$$

2.  $A = K(H)$ ,  $H$  separable Hilbert space. Then

$$M(A) = B(H).$$

**Exercise 39.** Show that the multiplier algebra of a  $C^*$ -algebra is a unital  $C^*$ -algebra.

**Solution.**  $(L_1, R_1)(L_2, R_2) := (L_1 L_2, R_2 R_1)$  associative.

$$\begin{aligned} a_1 (L_1 L_2)(a_2) &= a_1 L_1(L_2(a_2)) = R_1(a_2) L_2(a_2) = R_2(R_1(a_1)) a_2 \\ &= (R_2 R_1)(a_1) a_2. \end{aligned}$$

$$a_2 \text{Id}(a_1) = a_1 a_2 = \text{Id}(a_1) a_2 \Rightarrow (\text{Id}, \text{Id}) \in M(A).$$

$$(L_1, R_1)(\text{Id}, \text{Id}) = (L_1, R_1), \quad (\text{Id}, \text{Id})(L_2, R_2) = (L_2, R_2)$$

$\Rightarrow (\text{Id}, \text{Id})$  the unit of  $M(A)$ .

Norm;  $\|(L, R)\| := \|L\|$

$$\|L\| = \sup_{\|a\|=1} \|L(a)\| = \sup_{\|a\|=\|a'\|=1} \|a'L(a)\|$$

$$= \sup_{\|a\|=\|a'\|=1} \|R(a')a\| = \sup_{\|a'\|=1} \|R(a')\| = \|R\|.$$

normed alg.:

$$\begin{aligned} \|(L_1, R_1)(L_2, R_2)\| &= \|(L_1 L_2, R_2 R_1)\| = \|L_1 L_2\| \leq \|L_1\| \|L_2\| \\ &= \|(L_1, R_1)\| \cdot \|(L_2, R_2)\|. \end{aligned}$$



Banach alg.:  $(L_n, R_n)$  Cauchy sequence

$$\Rightarrow \| (L_m, R_m) - (L_n, R_n) \| = \| L_m - L_n \| = \| R_m - R_n \|$$

$B(A)$  complete  $\Rightarrow \exists (L, R)$   $L_n \rightarrow L, R_n \rightarrow R$

$$a_1 L(a_2) = \lim_{n \rightarrow \infty} a_1 L_n(a_2) = \lim_{n \rightarrow \infty} R_n(a_1) a_2 = R(a_1) a_2.$$

$\Rightarrow (L, R) \in M(A).$

\*-alg.:  $T \in \mathcal{B}(A) \Rightarrow T^\#(A) := T(A^*)^*$

$\Rightarrow T \rightarrow T^\#$  is an anti-linear involution

$$\begin{aligned} S, T \in \mathcal{B}(A) &\Rightarrow (ST)^*(A) = (ST)(A^*)^* = S(T(A^*)^*)^* \\ &= S(T(A^*)^{**})^* = S(T^\#(A)^*)^* = (S^\# T^\#)(A). \end{aligned}$$

$$(L, R)^* := (R^\#, S^\#).$$

C\*-alg:

$$\|(L, R)^*(L, R)\| = \|(R^\#, L^\#)(L, R)\| = \|(R^\#L, RL^\#)\| = \|R^\#L\|$$

$$= \sup_{\|a\|=1} \|(R^\#L)(a)\| = \sup_{\|a\|=1} \|R^\#(L(a))\| = \sup_{\|a\|=1} \|R(L(a)^*)^*\|$$

$$= \sup_{\|a\|=1} \|R(L(a)^*)\| = \sup_{\|a\|=\|a'\|=1} \|a'R(L(a)^*)\| = \sup_{\|a\|=\|a'\|=1} \|L(a')L(a)^*\|$$

but  $\sup_{\|a\|=\|a'\|=1} \|L(a')L(a)^*\| \leq \sup_{\|a\|=\|a'\|=1} \|L(a')\| \cdot \|L(a)^*\| = \sup_{\|a\|=1} \|L(a)\| \cdot \sup_{\|a'\|=1} \|L(a')\|$

$$= \|L\|^2,$$

$$\sup_{\|a\|=\|a'\|=1} \|L(a')L(a)^*\| \geq \sup_{\|a\|=1} \|L(a)L(a)^*\| = \sup_{\|a\|=1} \|L(a)\|^2 = \|L\|^2$$

$$\Rightarrow \|(L, R)^*(L, R)\| = \|L\|^2 = \|(L, R)\|^2. \quad \square$$

**Exercise 40.** Show that there is an embedding  $A \hookrightarrow M(A)$  onto an essential ideal.

**Solution.**

$$a_1, a_2, a_3 \in A, (L, R) \in M(A) \Rightarrow$$

$$a_1 L(a_2 a_3) = R(a_2) a_2 a_3 = (R(a_2) a_2) a_3 = (a_2 L(a_2)) a_3 = a_2 L(a_2) a_3$$

$$R(a_1 a_2) a_3 = a_1 a_2 L(a_3) = a_1 (a_2 L(a_3)) = a_1 (R(a_2) a_3) = a_1 R(a_2) a_3$$

Taking  $a_1 = (L(a_2 a_3) - L(a_2) a_3)^*$  in the first equation

and  $a_3 = (R(a_1 a_2) - a_1 R(a_2))^*$  in the second

we obtain  $a_1 a_1^* = 0$ ,  $a_3^* a_3 = 0$  so

$$L(a_2 a_3) = L(a_2) a_3$$

$$R(a_1 a_2) = a_1 R(a_2).$$

Now, if

$L_a(a') := aa'$ ,  $R_a(a') := a'a$ , then

$$a_1 L_{a_2}(a_3) = a_1 (a_2 a_3) = (a_1 a_2) a_3 = R_{a_2}(a_1) a_3$$

Therefore we obtain a well defined map

$$A \xrightarrow{\varphi} M(A), \quad a \longmapsto (L_a, R_a)$$

which is a  $*$ -homomorphism.

$$R_a = 0 \implies a^*a = R_a(a^*) = 0 \implies a = 0$$

$\implies \varphi$  is injective.

$$(L, R)(L_a, R_a) = (L L_a, R_a R)$$

$$(L L_a)(a') = L(L_a(a')) = L(aa') = L(a)a' = L_{L(a)}(a')$$

$$(R_a R)(a') = R_a(R(a')) = R(a')a = a'L(a) = R_{L(a)}(a')$$

$\implies \varphi(A)$  left ideal in  $M(A)$ .

$\varphi$  a  $*$ -homomorphism  $\Rightarrow \varphi(A) \triangleleft M(A)$ .

$$(L, R)(La, Ra) = 0 \Rightarrow (LLa, RaR) = 0$$

$$\Rightarrow (L_{L(a)}, R_{L(a)}) = 0 \Rightarrow L(a) = 0 \Rightarrow L = 0$$

$$\Rightarrow \|(L, R)\| = \|L\| = 0 \Rightarrow (L, R) = 0$$

$\Rightarrow \varphi(A)$  essential ideal.  $\square$

**Exercise 41.** Show that  $M(A)$  as above satisfies the universal property.

**Solution.**  $M(A)$  is a unital  $C^*$ -algebra containing

$A$  as an essential ideal. Let  $M$  be another such

$C^*$ -algebra. Define  $M \xrightarrow{\varphi} M(A)$ ,  $m \mapsto (L_m, R_m)$

where  $L_m(a) = ma$ ,  $R_m(a) = am$ . It is well defined

since  $aL_m(a') = a(ma') = (a-m)a' = R_m(a)a'$ .

It is a  $*$ -homomorphism since  $L_m^\#(a) = R_{m^*}(a)$ ,

$R_m^\#(a) = L_{m^*}(a)$ . Assume  $\varphi|_A = \iota_A$ , and denote

the embeddings  $A \hookrightarrow M$  by  $\iota$ .



It is enough to show that  $\varphi$  is the unique  
\*-homomorphism extending  $A \xrightarrow{\mathcal{L}_A} M(A)$ .

$$\varphi': M \rightarrow M(A), \quad \varphi'|_A = \mathcal{L}_A.$$

$$\begin{aligned} A \triangleleft M \Rightarrow \varphi'(m) \underset{A}{\mathcal{L}}(a) &= \varphi'(m) \varphi'(a) = \varphi'(ma) = \mathcal{L}(ma) = \varphi(ma) \\ &= \varphi(m) \varphi(a) = \varphi(m) \mathcal{L}_A(a) \end{aligned}$$

$$\Rightarrow (\varphi'(m) - \varphi(m)) \mathcal{L}_A(a) = 0. \quad \text{But } A \text{ essential in } M(A)$$

$$\Rightarrow \varphi' = \varphi. \quad \square$$

**Remark.** [Original Kasparov's definition]

Let  $E \oplus E^\perp$  be free. Then we get a  $*$ -homomorphism

$$\rho: B \rightarrow (E \oplus E^\perp) \rightarrow M(A \otimes K(H))$$

and a projection  $p \in M(A \otimes K(H))$ ,

where  $p$  is the image of  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ .

For  $E := p\rho(B)p + p(A \otimes K(H))p$  to be

a  $C^*$ -algebra, we need  $[p, \rho(b)] \in A \otimes K(H)$

for all  $b \in B$ .

It turns out the invertible extensions  
correspond to pairs  $\rho: B \rightarrow M(A \otimes K(H))$

(a  $*$ -homomorphism) and  $p \in M(A \otimes K(H))$  (a projection),  
s.t.  $\forall b \in B$   $[p, \rho(b)] \in A \otimes K(H)$ .

$$F := 2p - 1 \Rightarrow F^2 = 1, F^* = F, \forall b \in B [F, \rho(b)] \in A \otimes K(H)$$

Kasparov allows a weaker condition

$$(F - F^*) \rho(b) \in A \otimes K(H)$$

$$(F^2 - 1) \rho(b) \in A \otimes K(H)$$

$$[F, \rho(b)] \in A \otimes K(H).$$

**Definition.** A cycle with  $F^2 - 1 = 0$ ,  $F = F^*$ ,  $[F, \varphi(b)] = 0$   
is called degenerate.

Such cycles are zero in  $KK$ .

Another approach requires Hilbert modules.

**Definition.** Let  $A$  be a  $C^*$ -algebra and  $M$  be a right  $A$ -module.  $M$  is called a

Hilbert module if there exists a map

$$\langle -, - \rangle : \bar{M} \otimes M \longrightarrow A \quad \text{s.t.} \quad (\bar{M} \text{ complex conjugate of } M)$$

$$i) \langle \psi, \psi' \rangle = \langle \psi', \psi \rangle^*$$

$$ii) \langle \psi, \psi' a \rangle = \langle \psi, \psi' \rangle a$$

$$iii) \langle \psi, \psi \rangle \geq 0$$

$$iv) \langle \psi, \psi \rangle = 0 \Leftrightarrow \psi = 0$$

and  $M$  is complete w.r.t. the norm  $\|\psi\| = \|\langle \psi, \psi \rangle\|^{1/2}$ .

**Definition.** A linear operator  $F$  on  $\mathcal{H}$  is called adjointable if there exists a linear operator  $F^*$

on  $\mathcal{H}$  s.t.  $\langle F(-), - \rangle = \langle -, F^*(-) \rangle$ .

**Fact.** The adjointable operators on  $\mathcal{H}$  denoted by  $\mathcal{B}_A(\mathcal{H})$  form a  $C^*$ -algebra.

An adjointable linear operator is called (generalized)

compact if it is in the norm-closure

of the operators of the form  $\psi \mapsto \sum_i \psi_i \langle \psi'_i, \psi \rangle$ .